

Chiral Y-junction of Luttinger liquid wires at strong coupling: fermionic representation

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We calculate the conductances of a three-terminal junction set-up of spinless Luttinger liquid wires threaded by a magnetic flux, allowing for different interaction strength $g_3 \neq g$ in the third wire. We employ the fermionic representation in the scattering state picture, allowing for a direct calculation of the linear response conductances, without the need of introducing contact resistances at the connection points to the outer ideal leads. The matrix of conductances is parametrized by three variables. For these we derive coupled renormalization group (RG) equations, by summing up infinite classes of contributions in perturbation theory. The resulting general structure of the RG equations may be employed to describe junctions with an arbitrary number of wires and arbitrary interaction strength in each wire. The fixed point structure of these equations (for the chiral Y-junction) is analyzed in detail. For repulsive interaction ($g, g_3 > 0$) there is only one stable fixed point, corresponding to the complete separation of the wires. For attractive interaction ($g < 0$ and/or $g_3 < 0$) four fixed points are found, the stability of which depends on the interaction strength. We confirm our previous weak-coupling result of lines of fixed points for special values of the interaction parameters reaching into the strong coupling domain. We find new fixed points not discussed before, even at the symmetric line $g = g_3$, at variance with the results of Oshikawa et al. The pair tunneling phenomenon conjectured by the latter authors is not found by us.

I. INTRODUCTION

Electric circuits of one-dimensional quantum wires are described by laws quite different from those of corresponding classical systems. These laws are non-local, often quantized, and are generally difficult to derive. An important first step is the exploration of the properties of junctions of wires. The linear response conductance of a (symmetric) two-terminal junction in the limit of zero temperature has been found to be either zero (repulsive) or unity (attractive interaction) in units of the conductance quantum^{1,2}. Most of the existing theories employ the exactly solvable Tomonaga-Luttinger liquid (TLL) model, in which backward scattering is neglected³. Moreover, for simplicity the fermions are assumed to be spinless, in which case backward scattering only gives rise to a renormalization of the forward scattering amplitude. Furthermore, most of the early works on the problem of two TLL-wires connected by a junction employed the method of bosonization, or, for special values of the interaction parameter, used a mapping on to exactly solvable models^{4,5}.

An alternative formulation using a purely fermionic representation in terms of scattering states and a renormalization group (RG) approach for the conductance has been pioneered by⁶. The latter formulation has several advantages over the bosonic approach. Perhaps the most important difference is that it allows to describe the physically relevant system of a quantum wire (with interaction between the fermions) adiabatically coupled to reservoirs (with negligible interaction), whereas the bosonic approach is formulated for the infinite system. The effect

of a finite wire length has only been convincingly derived for a clean wire⁷⁻⁹; in the case of wires connected by a junction a plausible, but unproven ad hoc procedure has been proposed¹⁰. As will be discussed below we have reasons to suspect that the latter procedure is not always correct. The fermionic scattering state approach is generally applicable, allowing for the study of wires with two impurities¹¹, of multi-lead junctions^{12,13} as well as the inclusion of spin, of backward scattering,⁶ of out of equilibrium situations,¹⁴ point contact in a quantum spin Hall insulator¹⁵. The original model calculation⁶ has been limited to weak coupling. It may be shown, however, that the method can be extended to work in the strong coupling regime as well, by summing up an infinite class of leading contributions in perturbation theory¹⁶. In all cases considered so far it has been found that the method provides results in agreement with any available exact results, which builds confidence in its validity. As shown in¹⁶, in the case of a two-lead junction, the contributions neglected in the above-mentioned partial summation are subleading and may be shown to vanish when the fixed points are approached.

Generally, the transport behavior of one-dimensional systems at low energy/temperature is dominated by only a few fixed points (FPs) of the RG flow. The most common and intuitively plausible FPs are those with quantized conduction values, $G = 0, 1$, in units of the conductance quantum $G_0 = e^2/h$ (we consider systems of spin-less fermions). There may, however, appear additional FPs associated with noninteger conductance values, usually in the case of attractive interaction. A particularly well-studied case is that of a symmetric three-

lead junction with broken chiral symmetry, as induced by a magnetic flux threading the junction. This latter problem has been studied by the method of bosonization in^{10,17}, and by the functional RG method in¹⁸. These authors have identified a number of new FPs, for attractive interaction. The discovery of these new FPs has sparked interest in the problem of mapping out the complete fixed point structure of the theory, even though most of the interesting new physics appears to be in the physically less accessible domain of strongly attractive interactions. Only the fully symmetric situation of identical wires ($g = g_3$, see below) has been considered in^{10,18}. As we have shown in¹⁹ for weak coupling and will show below for any coupling strength, important new physics is missed by restricting the consideration to the symmetric case.

One result found by¹⁰ deserves special attention: it is the claim that a new fixed point named D (Dirichlet) exists for strongly attractive interaction (Luttinger parameter $K > 3$), which is interpreted as describing multiparticle transport. As a consequence, the conductance values at this FP are outside the domain of values allowed by a single particle S -matrix description. Put differently, this requires the excitation of particle-hole pairs at the junction, with say the particle moving into lead 2, while the hole is going into lead 3. In our view, at least at zero temperature, and in the linear response regime, such processes are not occurring, because the phase space for particle-hole excitations tends to zero in this limit. As we will show below, we do not find a fixed point with the characteristics of D , but in contrast we find two new fixed points, not discussed in Refs. [10,20].

In principle, the Y-junction set-up may be realized experimentally by a one-dimensional tunneling tip contacting a quantum wire. A magnetic flux threading the junction may be created by local magnetic moments at the junction, although this has not been realized experimentally so far.

In this paper we report results of an RG treatment of electron transport in the linear response regime through a junction of three spinless TLL wires threaded by a magnetic flux. We employ a fermionic representation as described in detail in¹⁶. The method has formerly been used in^{12,13} in the case of Y-junctions. More recently this approach has been used to derive the RG equations for the conductances of a Y-junction connecting three TLL wires in the absence of magnetic flux, for weak interaction²¹, and in a recent work for any strength of interaction²². In²¹ it was found that even in weak coupling, but beyond lowest order, interesting new structures appear. Even a weak higher order contribution may change the RG-flow in a dramatic way. In the present paper we describe a similar effect: an asymmetry of the three wires of a chiral Y-junction to the effect that in the tip wire the interaction strength g_3 is different from that in the main wire, g , allows to access certain regions in interaction parameter space where a whole line of fixed points rather than a single fixed point is stable. This hap-

pens for attractive interaction only ($g, g_3 < 0$) The line of stable fixed points is connecting two fixed points at two special manifolds of interaction values, (a) $g = 0; g_3 < 0$, or (b) whenever the condition $K_3^{-1} = 2 - (K + K^{-1})/2$, $1 < K < 2$ is met. Along these fixed point lines the FP values of the conductances are continuously varying. Results on this new aspect of transport through Y-junctions within weak coupling have been reported in¹⁹. In the present work we extend our theory into the strong coupling regime. We derive a general expression for the scale-dependent contribution to the conductances, valid for a junction with any number of leads and any values of interaction g_j in lead j . This result allows to derive RG-equations for the set of independent conductances in the given case. We go on to evaluate these general expressions for the three-lead junction of the Y-type (interaction g in the main wire and g_3 in the tip wire) in the presence of magnetic flux. Then we determine the six physically allowed FPs, and discuss their stability as a function of position in coupling constant space.

In order to compare our results with the recent findings of²⁰ for a fully asymmetric junction, we extend our analysis by allowing for full asymmetry of the S-matrix at the junction, while keeping equal interaction in the main wires. This minimal modification enables us to elucidate the differences between our conclusions and those in²⁰. We show that the set of RG equations for the most general asymmetric chiral case can be easily derived in our formalism, and allows us to discuss the robustness of our results obtained for the partially asymmetric case ($g_1 = g_2$) with respect to further asymmetry. A full analysis of the case $g_1 \neq g_2$ is, however, beyond the scope of the present study.

Our findings for the 1-2-symmetric Y-junction are summarized in Fig. 1, where the regions of stability of the four FPs N , A , χ^\pm and M are displayed in the space of interaction constants g and g_3 . Fixed point N corresponds to the complete separation of all three wires. The separation of the third wire from the perfectly conducting “main wire” 1-2 is described by FP A . The chiral FPs χ^+ , χ^- correspond to maximum chirality. They describe a kind of Hall effect situation (depending on the orientation of the magnetic flux), where the in-current from wire j flows into wire $j + 1$ (χ^+) or $j - 1$ (χ^-). Fixed point M , finally, corresponds to the situation of maximum transparency. The stability of the M -point in the region to the right, $g > 0$, (checkerboard pattern) is lost for infinitesimally small deviations from perfect 1-2 symmetry of the junction, in favor of a new A -point (A_1 or A_2 , meaning separation of wire 1 or 2 from the remaining “main wire”). The region of stability of the M -point on the left, $g < 0$, remains (see the detailed discussion in Section VI and Fig. 6). It is worth noting that in certain regions two FPs (e.g., A and M , or χ^\pm and M) are stable and attract trajectories within their respective basins of attraction.

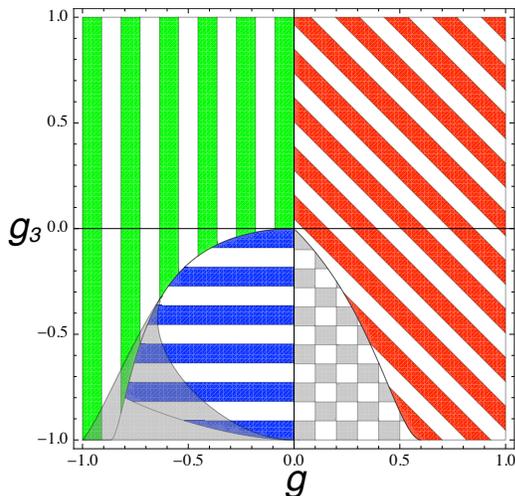


FIG. 1: (Color online) The RG phase portrait, showing regions with different stable fixed points. Diagonal red stripes correspond to stable N point, vertical green stripes - to A point, horizontal blue stripes - to χ^\pm points, gray shaded and checkerboard regions indicate stable M point.

II. PERTURBATION THEORY FOR CONDUCTANCES

A. The model

We consider a model of interacting spinless fermions describing three quantum wires connected at a single junction by tunneling amplitudes in the presence of a magnetic flux piercing the junction. In the continuum limit, linearizing the spectrum at the Fermi energy and including forward scattering interaction of strength g_j in wire j , we may write the TLL Hamiltonian in the representation of incoming and outgoing waves in lead j (fermion operators $\psi_{j,in}$, $\psi_{j,out}$) as

$$\begin{aligned} \mathcal{H} &= \int_{-\infty}^0 dx [H_j^0 + H_j^{int}], \\ H^0 &= v_F \Psi_{in}^\dagger i \nabla \Psi_{in} - v_F \Psi_{out}^\dagger i \nabla \Psi_{out}, \\ H^{int} &= 2\pi \{g[\hat{\rho}_1 \hat{\rho}_1 + \hat{\rho}_2 \hat{\rho}_2] + g_3 \hat{\rho}_3 \hat{\rho}_3\} \Theta(x; -L, -l). \end{aligned} \quad (1)$$

Here $\Psi_{in} = (\psi_{1,in}, \psi_{2,in}, \psi_{3,in})$ denotes a vector operator of incoming fermions and the corresponding vector of outgoing fermions is expressed through the S -matrix as $\Psi_{out}(x) = S \cdot \Psi_{in}(-x)$. In the chiral representation we are using positions on the negative (positive) semi-axis corresponding to incoming (outgoing) waves. We consider quantum wires of finite length L , contacted by reservoirs. The transition from wire to reservoir is assumed to be adiabatic (i.e. produces no additional potential scattering). The junction is assumed to have microscopic extension l of the order of the Fermi wave length. Inside the junction interaction effects are neglected. This

is expressed by the window function $\Theta(x; -L, -l) = 1$, if $-L < x < -l$, and zero otherwise. The regions $x < -L$ are thus regarded as reservoirs or leads labeled $j = 1, 2, 3$. We denote the interaction constants $g_1 = g_2 = g$ from now on, and put the Fermi velocity $v_F = 1$. The various incoming and outgoing channels are illustrated in Fig. 1 elsewhere.^{19,22} The interaction term of the Hamiltonian is expressed in terms of density operators $\hat{\rho}_{j,in} = \Psi^\dagger \rho_j \Psi = \hat{\rho}_j$, and $\hat{\rho}_{j,out} = \Psi^\dagger \tilde{\rho}_j \Psi = \tilde{\hat{\rho}}_j$, where $\tilde{\rho}_j = S^+ \cdot \rho_j \cdot S$ and the density matrices are given by $(\rho_j)_{\alpha\beta} = \delta_{\alpha\beta} \delta_{\alpha j}$ and $(\tilde{\rho}_j)_{\alpha\beta} = S_{\alpha j}^+ S_{j\beta}$. The S -matrix characterizes the scattering at the junction and (up to irrelevant phase factors) has the structure (see¹⁹)

$$S = \begin{pmatrix} r_1 & t_{12} & t_{13} \\ t_{21} & r_1 & t_{23} \\ t_{31} & t_{32} & r_2 \end{pmatrix} \quad (2)$$

A convenient representation of 3×3 -matrices is in terms of Gell-Mann matrices λ_j , $j = 0, 1, \dots, 8$, the generators of $SU(3)$ (see²²). Notice that the interaction operator only involves λ_3 and λ_8 (besides the unit operator $(\lambda_0)_{\alpha\beta} = \sqrt{2/3} \delta_{\alpha\beta}$). We note $\text{Tr}(\lambda_j) = 0$, $\text{Tr}(\lambda_j \lambda_k) = 2\delta_{jk}$, $j = 1, \dots, 8$, and $[\lambda_3, \lambda_8] = 0$. We introduce a compact notation by defining a three-component vector $\vec{\lambda} = (\lambda_3, \lambda_8, \lambda_0)$, in terms of which the densities may be expressed as $\rho_j = \sqrt{1/2} \sum_\mu R_{j\mu} \vec{\lambda}_\mu$, where the 3×3 matrix \mathbf{R} is defined as

$$\mathbf{R} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} \\ 0 & -\sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} \end{pmatrix} \quad (3)$$

and has the properties $\mathbf{R}^{-1} = \mathbf{R}^T$, $\det \mathbf{R} = 1$. The outgoing amplitudes are expressed in the analogous form with λ_j replaced by $\tilde{\lambda}_j = S^+ \cdot \lambda_j \cdot S$. With the aid of the λ_j the S -matrix may be parametrized by eight angular variables (see²³ and Appendix A). For the case under consideration only three of these, θ, ψ, ξ , are relevant: $S = e^{i\lambda_2 \xi/2} e^{i\lambda_3(\pi-\psi)} e^{i\lambda_5 \theta} e^{i\lambda_2(\pi-\xi)/2}$. The corresponding elements of the S -matrix are given by

$$\begin{aligned} r_1 &= \frac{1}{2} (\cos \theta + e^{i\psi}) \sin \xi, & r_2 &= \cos \theta, \\ t_{13} &= t_{32} = i \cos \frac{\xi}{2} \sin \theta, & t_{23} &= t_{31} = i \sin \frac{\xi}{2} \sin \theta, \\ t_{12} &= \cos \theta \cos^2 \frac{\xi}{2} - e^{i\psi} \sin^2 \frac{\xi}{2}, & t_{21} &= t_{12}|_{\xi \rightarrow \pi - \xi}. \end{aligned} \quad (4)$$

As will be shown below, the S -matrix and therefore the angular variables θ, ψ, ξ , will be renormalized by the interaction.

B. Linear response conductances

In the linear response regime, the conductances

$$C_{jk} = \int_{-\infty}^{-L} dy \langle (\hat{\rho}_j(-L) - \hat{\rho}_j(L)) \hat{\rho}_k(y) \rangle_{\omega \rightarrow 0}$$

relate the current I_j in lead j (flowing towards the junction) to the electrical potential V_k in lead k , $I_j = C_{jk} V_k$. Here the combination $(\hat{\rho}_j(-L) - \hat{\rho}_j(L))$ means that the current is measured at $x = -L$, and the integration over y for the incoming densities is over the length of the ideal leads, to which the electric potential V_k is applied. Denoting the frequency of the applied external field by ω , we consider the d.c. limit $\omega L \rightarrow 0$, when the value of L does not play a role.¹⁶ The quantum averaging $\langle \dots \rangle$ involves taking the trace over the matrix products. The conductance matrix \mathbf{C} has only three independent elements, $G_{aa} = \frac{1}{2}(1-a)$, $G_{bb} = \frac{2}{3}(1-b)$, and $G_{ab} = c/\sqrt{3}$, relating the currents $I_a = \frac{1}{2}(I_1 - I_2)$, $I_b = \frac{1}{3}(I_1 + I_2 - 2I_3)$ to the bias voltages $V_a = (V_1 - V_2)$, $V_b = \frac{1}{2}(V_1 + V_2 - 2V_3)$. In compact notation we may define a 3×3 matrix of conductances

$$\mathbf{G} = \begin{pmatrix} G_{aa} & G_{ab} & 0 \\ -G_{ab} & G_{bb} & 0 \\ 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} \frac{1}{2}(1-a) & -\frac{c}{\sqrt{3}} & 0 \\ \frac{c}{\sqrt{3}} & \frac{2}{3}(1-b) & 0 \\ 0 & 0 & 0 \end{pmatrix} \quad (5)$$

The connection between matrices \mathbf{C} and \mathbf{G} comes from the observation, that the nonzero elements of the matrix $\mathbf{C}^R = \mathbf{R}^T \mathbf{C} \mathbf{R}$ are essentially the reduced conductances: $C_{11}^R = 2G_{aa}$, $C_{22}^R = \frac{3}{2}G_{bb}$, $C_{12}^R = -C_{21}^R = \sqrt{3}G_{ab}$, where the numerical factors arise due to the physically motivated asymmetric definitions of the currents and voltages. It may be shown that the parametrization of the conductance tensor in terms of a, b, c follows quite naturally, by observing that the initial conductances following from the Kubo formula are given by $C_{jk} = \delta_{jk} - \text{Tr}(\tilde{\rho}_j^r \rho_k) = \delta_{jk} - |S_{jk}^r|^2$ where the superscript r denotes that the quantity is fully renormalized by interactions²². By expressing $Y_{jk} = \text{Tr}(\tilde{\rho}_j^r \rho_k)$ in terms of $Y_{\mu\nu}^R = \frac{1}{2} \text{Tr}(\tilde{\lambda}_\mu^r \lambda_\nu)$ as $\mathbf{Y} = (\mathbf{R} \cdot \mathbf{Y}^R \cdot \mathbf{R}^T)$, we find by comparison with the conductance matrix, $\mathbf{C}^R = \mathbf{1} - \mathbf{Y}^R$, that \mathbf{Y}^R has nonzero elements given by the conductance parameters introduced above:

$$\mathbf{Y}^R = \begin{pmatrix} a & c & 0 \\ -c & b & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (6)$$

From now on we will drop the superscript r , with the understanding that all quantities are renormalized. Therefore, we may use \mathbf{Y}^R to represent the conductances in the renormalization group analysis below.

By substituting the S -matrix in the form (2), (4) into the definition of \mathbf{Y}^R , we find the relation of the a, b, c

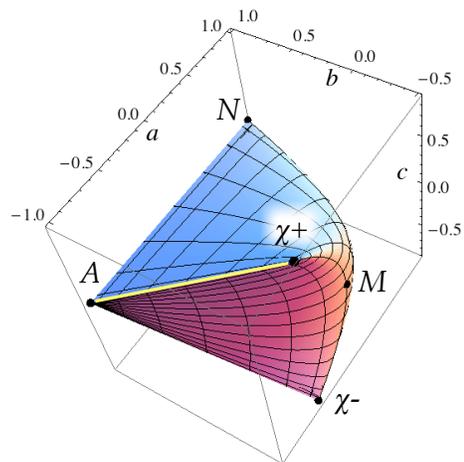


FIG. 2: (Color online) Allowed values of conductances, a, b, c , lie inside the depicted body, B . The location of the RG fixed points N, A, χ^\pm, M is also shown. The line of fixed points connecting FPs A and χ^+ is indicated in yellow.

to the Euler angle variables: $a = -\frac{1}{2}(\cos^2 \theta + 1) \cos^2 \xi + \cos \theta \cos \psi \sin^2 \xi$, $b = \frac{1}{2}(3 \cos^2 \theta - 1)$, $c = -\frac{\sqrt{3}}{2} \sin^2 \theta \cos \xi$. We find therefore that a, b, c are confined within the region $a \in [-1, 1]$, $b \in [-1/2, 1]$, $c \in [-\sqrt{3}/2, \sqrt{3}/2]$. The physically allowed points in a - b - c -space, satisfying the conditions $|\cos \theta| < 1$, $|\cos \psi| < 1$ and $|\cos \xi| < 1$, lie inside a body labelled B as shown in Fig. 2. We may trace the above restriction on the allowed values of the conductance back to our consideration of energy conserving scattering. In the linear response regime and at zero temperature only lead electrons at the Fermi level will be transported through the interacting wire, and particle-hole excitations are excluded. The restriction of the set of conductances to the domain of allowed values has important consequences for the stability of certain fixed points of the RG flow, as will be discussed below. We mention now and will show later that all fixed points are located on the surface of the body B in Fig. 2.

For the isotropic case discussed below we have $a = b$. One possible parametrization of the S -matrix for this case is given by Eq. (B1) The corresponding subspace of allowed conductance values is the twodimensional domain Δ enclosed by a deltoid curve, see Eq. (B8) and Fig. 3.

III. RENORMALIZATION GROUP EQUATIONS

The renormalization of the conductances by the interaction is determined by first calculating the correction terms in each order of perturbation theory. We are in particular interested in the scale-dependent contributions proportional to $\Lambda = \ln(L/l)$, where L and l are two lengths, characterizing the interaction region in the wires (see above). In lowest order in the interaction the scale dependent contribution to the conductances is given by¹⁹

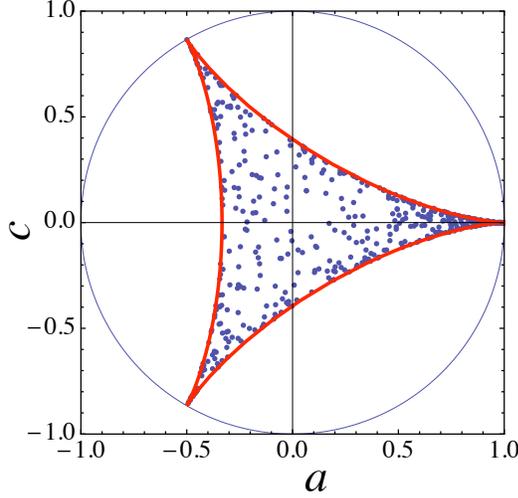


FIG. 3: (Color online) Allowed values of conductance a , c for the isotropic case. The S -matrices (B1) characterized by parameters Γ , ϕ lead to two quantities a and c , as described by (B9). We took 500 pairs Γ , ϕ at random, these are blue points on the plot. As discussed in Appendix B, the domain Δ is bounded by a deltoid curve.

$$C_{jk} = C_{jk}|_{\mathbf{g}=0} + \frac{1}{2} \sum_{l,m} \text{Tr} \left[\widehat{W}_{jk} \widehat{W}_{lm} \right] g_{ml} \Lambda, \quad (7)$$

where $C_{jk}|_{\mathbf{g}=0} = \delta_{jk} - Y_{jk}$, the $\widehat{W}_{jk} = [\rho_j, \tilde{\rho}_k]$ are a set of nine 3×3 matrices (products of \widehat{W} 's are matrix products), $g_{ml} = g_m \delta_{ml}$ is the matrix of interaction constants and the trace operation Tr is defined with respect to the 3×3 matrix space of \widehat{W} 's. As argued in¹⁶ the leading terms in each order of perturbation theory are certain diagrams of the ladder type, which may be summed up analytically. The result is a renormalized interaction matrix \mathbf{g}^{ladder} replacing the bare interaction matrix \mathbf{g} in Eq.(7). The components of \mathbf{g}^{ladder} are obtained from the following

$$\begin{aligned} \frac{da}{d\Lambda} &= D^{-1} \{ a^2(3b - 1 - 2Q_1) + a(3c^2 + q(1 - b)) + (Q_1 - b)(1 + b) + c^2(2 + q) \}, \\ \frac{db}{d\Lambda} &= D^{-1} \{ q(1 - b)(1 + 2b) + a(b - 1)(1 + 3b - Q_1) + c^2(2 + 3b + Q_1) \}, \\ \frac{dc}{d\Lambda} &= -cD^{-1} \{ 1 + q + Q_1 - 3c^2 + 2b(q - 1) + a(2Q_1 - 3b - 2) \}. \end{aligned} \quad (12)$$

where $D = (a - q)(b - Q_1) + c^2$, and

$$Q_1 = \frac{3qq_3 - q - 2q_3}{2q + q_3 - 3}.$$

The RG-equations describe the flow of the conductances

matrix equation (see appendix C)

$$\mathbf{g}^{ladder} = 2(\mathbf{Q} - \mathbf{Y})^{-1}. \quad (8)$$

We observe that the effective interaction is found to depend on the conductance components $C_{jk} = \delta_{jk} - Y_{jk}$ in a highly nonlinear way. The matrix \mathbf{Q} characterizes the interaction strength and depends on the Luttinger parameters $K_j = [(1 - g_j)/(1 + g_j)]^{1/2}$ as

$$Q_{jk} = q_j \delta_{jk}, \quad q_j = (1 + K_j)/(1 - K_j). \quad (9)$$

(Notice that $|q_j| > 1$ for any interaction strength.) In order to remove the redundancy in the conductance matrix \mathbf{C} we now multiply with \mathbf{R}^T from the left and \mathbf{R} from the right to get the components of \mathbf{Y}^R in the form

$$Y_{jk}^R = Y_{jk}^R|_{\mathbf{g}=0} - \frac{1}{2} \sum_{l,m} \text{Tr} \left[\widehat{W}_{jk}^R \widehat{W}_{lm}^R \right] g_{ml}^{ladder,R} \Lambda. \quad (10)$$

Differentiating these results with respect to Λ (and then putting $\Lambda = 0$) we find the RG equations up to infinite order in the interaction

$$\frac{d}{d\Lambda} Y_{jk}^R = -\frac{1}{2} \sum_{l,m} \text{Tr} \left[\widehat{W}_{jk}^R \widehat{W}_{lm}^R \right] g_{ml}^{ladder,R}. \quad (11)$$

Here the $\widehat{W}_{jk}^R = \{\mathbf{R}^T \cdot \widehat{\mathbf{W}} \cdot \mathbf{R}\}_{jk}$ are a set of nine 3×3 matrices and the trace operation Tr is defined with respect to that matrix space, whereas $g_{ml}^{ladder,R} = \{\mathbf{R}^T \cdot \mathbf{g}^{ladder} \cdot \mathbf{R}\}_{ml} = 2\{(\mathbf{Q}^R - \mathbf{Y}^R)^{-1}\}_{ml}$ and $\mathbf{Q}^R, \mathbf{Y}^R$ are scalars with respect to this space. The nine matrices \widehat{W}_{jk}^R are best evaluated with the aid of computer algebra, inserting the S -matrix in terms of the quantities a, b, c as given above (see also Appendix D). As a result one finds the following set of RG-equations

upon increasing Λ until a stable fixed point is reached. The fixed points are found by putting the right hand sides (the β -functions) simultaneously equal to zero. The approach towards any given fixed point is characterized by power laws. The fixed point pattern and the power

law exponents depend on the interaction strength. We note that the β -functions (the right hand sides of Eqs. (12)) are highly nonlinear functions of a, b, c and of q, q_3 , giving rise to a rich manifold of fixed points and RG flow patterns.

IV. FIXED POINTS OF RG FLOW

A. Chiral isotropic case

In the isotropic case, meaning (i) equal interaction strength in all wires, $q = q_3$, and (ii) isotropic tunneling amplitudes, we have $Q_1 = q$ and $a = b$. The RG equations read

$$\begin{aligned} \frac{da}{d\Lambda} &= \beta_a = D_{is}^{-1} \{ (q-a)(1-a)(1+3a) + c^2(2+q+3a) \}, \\ \frac{dc}{d\Lambda} &= \beta_c = -c D_{is}^{-1} \{ 1 + 2q + 4a(q-1) - 3(a^2 + c^2) \}. \end{aligned} \quad (13)$$

where $D_{is} = (a-q)^2 + c^2$. By putting the β -functions equal to zero we find four fixed points, labeled N, M, χ^\pm, C^\pm , at positions

$$\begin{aligned} a_N &= 1, & c_N &= 0, \\ a_M &= -1/3, & c_M &= 0, \\ a_{\chi^\pm} &= -1/2, & c_{\chi^\pm} &= \pm\sqrt{3}/2, \\ a_{C^\pm} &= \frac{3-K^2}{3(K-1)^2}, & c_{C^\pm} &= \pm \frac{2K\sqrt{K(K-2)}}{3(K-1)^2}. \end{aligned} \quad (14)$$

The location of these points in the $c-a$ -plane is shown in Fig. 4. We observe that all the FPs lie on the boundary curve of the domain of allowed conductances. We discard the unphysical solution $a = q, c = 0$, because $|a| < 1 < |q|$.

Next we discuss the stability of these FPs. We start with the N -point, located at a cusp of the boundary curve Δ , see Fig. 2. The cusp is infinitely sharp, leaving only a single direction of approach from the inside of Δ : $a = 1-x, c = 0$. Linearizing the RG equation for a in the small quantity x we find

$$\frac{dx}{d\Lambda} = -2x(K^{-1} - 1).$$

It follows that the N -point is stable for repulsive interaction, when $K^{-1} - 1 > 0$.

We now turn to the χ^\pm -FPs, which are again located at a cusp of Curve Δ , Fig. 3. Therefore, again there is only one allowed direction for the trajectory towards or away from χ^\pm : $(a, c) = \frac{1}{2}(-1, \pm\sqrt{3})(1-x)$, where x is found to obey

$$\frac{dx}{d\Lambda} = -2x \left(\frac{4K}{3+K^2} - 1 \right).$$

We thus see that the χ^\pm -FPs are stable in the interaction regime $1 < K < 3$.

The M -point is located at a flat section of curve A and we therefore have a two-dimensional manifold of possible trajectories leading to it. Expanding the RG-equations in linear order around the M -point we find

$$\begin{aligned} \frac{da}{d\Lambda} &= 6 \frac{1-K}{2+K} \left(a + \frac{1}{3} \right), \\ \frac{dc}{d\Lambda} &= -3 \frac{(1-K)(2-K)}{(2+K)^2} c. \end{aligned}$$

It follows that the M -point is stable for $K > 2$. We note in passing that the M -point cannot be discussed within the Abelian bosonization approach, as the criterion $a_M^2 + c_M^2 = 1$ is not satisfied.²³

Whereas the N, M, χ^\pm -FPs have been described before, we find in addition a new pair of FPs C^\pm here. The location of these FPs is not geometrical, but depends on the interaction. We first observe that the C^\pm -points are physically allowed (i.e. are located within the open domain Δ) only for sufficiently strong attractive interaction, $K > 2$ (they are always residing at the boundary curve Δ). At $K = 2$ they merge with the M -point. As K increases the C^\pm -points start to move away from the M -point in opposite direction until they end at the χ^\pm -points when $K = 3$. Upon further increase of K the C^\pm -points move beyond the χ^\pm -points along the boundary of Δ , until they end at $a = -\frac{1}{3}, c = \pm\frac{2}{3}$, in the limit $K \rightarrow \infty$. The stability analysis tells that the C^\pm -points are never stable.

As a result, we conclude, that for $K > 3$ the only stable fixed point is the M point, and not the unphysical D point $a = -1, c = 0$ suggested in¹⁰. In Sec. 6.3 of that paper the authors say: ‘‘While the χ_\pm fixed points are stable against a small change in the flux, we do not know whether the M fixed point has such a stability. The simplest assumption is that it does not. In this case the RG flows might go to the χ_\pm fixed points for any non-zero flux ϕ , starting from arbitrarily small V . Alternatively, it is possible that the M fixed point is stable against adding a small flux. In that case, there would have to be additional unstable fixed points defining the boundaries between the basins of attraction of the M, χ_+ and χ_- fixed points. So again, an ‘economy’ principle suggests the simple picture with only three stable fixed points’’, for $1 < K < 3$. Our analysis shows, however, that this conjecture is not fully correct, as the new unstable fixed points C^\pm appear for $K > 2$, allowing the point M to become stable. Examples of flow trajectories for interaction strengths $K = 0.7; 1.5; 2.07; 2.7; 4; 15$ are shown in Fig. 4.

B. Chiral anisotropic case

The above RG equations, Eqs. (12), describe the flow of a, b, c towards the stable fixed points (FPs) inside the

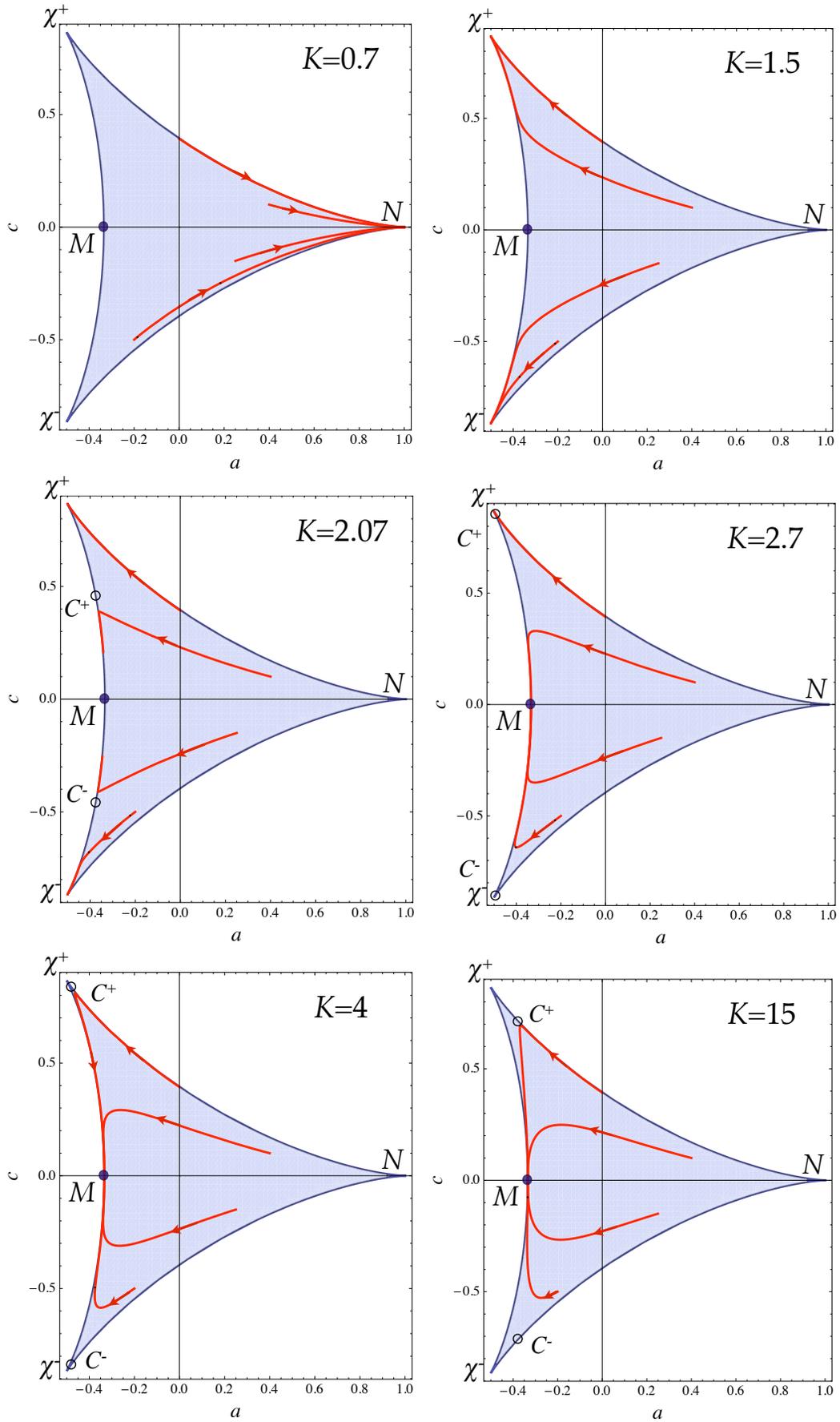


FIG. 4: (Color online) RG flows for the fully symmetric chiral case, for different values of interaction. The four starting points are the same in all plots. The non-universal positions of the chiral C^\pm points are shown by open circles.

body B of allowed conductance values (see Fig. 2), depending on the initial conditions. The fixed points are defined by the zeros of the β -functions on the r.h.s. of Eqs. (12). Some of the fixed points are outside the allowed region B in $a-b-c$ -space and will be discarded as unphysical. There are altogether six physical FPs (see table I), which may be classified into two groups, universal (independent of the interaction) and non-universal FPs.

The three universal FPs are labeled N, A, χ^\pm . These FPs may be thought to be of geometrical nature, as the corresponding S -matrix elements are 1 or 0. The FP N describes the total separation of the wires, meaning zero conductance in all components. By contrast, A describes the separation of wire 3 from the perfectly conducting wires 1–2. Finally, χ^\pm are the FPs generated by chirality (left- or right-handed).

The non-universal FPs are labeled M, Q, C^\pm . They only exist or are physical in a limited region of interaction constant space, mainly for attractive interaction. As shown in table I the conductances at these FPs depend in a complicated way on the interaction constants.

The locations of the M and Q points have been given in²². We reproduce the results here in the present notation. Both points can simultaneously exist only for attractive interaction, $g, g_3 < 0$. Notice that for equal interactions $g = g_3$ we have $q = q_3 = Q_1$, $\tau = |1 + q|$ (see below) and we return to (14).

The FPs M and Q merge along a line in interaction constant space, defined by $\tau = 0$, where

$$\tau = \sqrt{1 + q^2 + 2Q_1}. \quad (15)$$

The end point of this line is at $K = 2$, $K_3 = 4/3$, and was named “tricritical” in Ref. [22].

The location of the FPs C^\pm is best represented in terms of the angular variables θ, ψ, ξ as

$$\begin{aligned} \cos \theta &= -\frac{1}{3}(2 + Q_1), & \cos \psi &= 1, \\ \cos \xi &= \pm \frac{\sqrt{3}}{5 + Q_1} [3 - (2q - Q_1)(2 + Q_1)]^{1/2}. \end{aligned} \quad (16)$$

The C^\pm points lie on the surface of the body of physically allowed conductances, since $\cos \psi = 1$ corresponds to the outmost points of our parametrization. The FPs C^\pm are only in the physical domain if the interaction constants satisfy the requirement $|\cos \theta| \leq 1$, implying $-5 \leq Q_1 < 1$, and $0 \leq \cos^2 \xi \leq 1$ leading to the bounds

$$0 \leq 3 - (2q - Q_1)(Q_1 + 2) \leq \frac{1}{3}(5 + Q_1)^2$$

The interval shrinks to zero when $Q_1 \rightarrow -5$, and the above inequalities demand $q \rightarrow -3$, which in turn gives $g_3 \rightarrow -7$. Remarkably, these values again correspond to $K = 2$ and $K_3 = 4/3$, dubbed the “tricritical” point above.

From the Table I one may also verify that the points C^\pm merge with χ^\pm at

$$Q_1 = -2 \quad \Leftrightarrow \quad K^{-1} + 2K_3^{-1} = 1 \quad (17)$$

implying, in the symmetric situation, the condition $K = K_3 = 3$.

V. STABILITY OF FIXED POINTS

The above set of equations (12) allows to perform a rather straightforward analysis of the stability of FPs. Assuming that we have a fixed point (a_0, b_0, c_0) , we may expand the RG equations in terms of the vector of small deviations $\mathbf{x} = (a - a_0, b - b_0, c - c_0) = \lambda(a_1, b_1, c_1)$, to linear order in $\lambda \ll 1$:

$$\left[\frac{d}{d\Lambda} - \mathbf{M} \right] \mathbf{x} = 0 \quad (18)$$

The stability of the fixed point is defined by the direction of the RG flow, which is determined by the eigenvalues μ_i ($i = 1, 2, 3$) of the matrix \mathbf{M} . All μ_i are positive for the fully unstable FP, all μ_i are negative for stable FPs and in the the saddle point case (some μ_i negative, some positive) the FP is again unstable.

Two remarks are in order here. First, the matrix \mathbf{M} is in general not symmetric, so that its eigenvectors are not orthogonal. Second, in the case of asymmetric interaction ($q \neq Q_1$) the expressions for \mathbf{M} are not simple for the non-universal FPs, and should be analyzed numerically. Simplifications occur at $q = q_3 = Q_1$, as was already demonstrated for the M point in the non-chiral case in²².

We mention that not all directions of the vector (a_1, b_1, c_1) are permitted. This is because all FPs lie on the surface of the body of physically allowed conductances. Certain displacements \mathbf{x} would take the point (a, b, c) outside of the body. If the FP lies on a smooth part of the surface of the body, the requirement for \mathbf{x} to be inside the body is not very restricting, because it only selects half of all possible directions. But in our case the situation is more complicated by the fact, that some FPs lie on ridges of the body. This situation happens with the universal FPs and is discussed below.

In the following we present a detailed stability analysis for the different fixed points. The results are collected in Table II and in Fig. 5, showing the phase boundaries (i.e. the boundaries, separating different domains of stability) in the $g - g_3$ -plane.

A corresponding figure for the non-chiral case ($c = 0$) has been presented as Fig. 4 in our previous publication²². By comparing the figures we observe that phases I–VI and 1,3',5 are characterized by FPs with $c = 0$ and were already discussed in the non-chiral case. The new phases are labeled 2–4, 6, involving either the stable chiral FPs χ^\pm or the new unstable FPs, C^\pm .

It should be emphasized, that the scaling exponents obtained by our method for the “universal” FPs N, A, χ^\pm are in exact agreement with the results, found by boundary conformal field theory²⁰. Our results for the non-chiral FPs N, A were reported earlier²². The particular expressions for the χ^\pm points below, Eq. (24), co-

TABLE I: The positions of the fixed points of the RG equations, (12). The quantity τ is defined in Eq. (15).

FP	a	b	c
N	1	1	0
A	-1	1	0
χ^\pm	$-\frac{1}{2}$	$-\frac{1}{2}$	$\mp \frac{\sqrt{3}}{2}$
M	$\frac{1}{3}(q - \tau) \text{sign}(q)$	$\frac{1}{6}(q - \tau)^2 - 3$	0
Q	$\frac{1}{3}(q + \tau) \text{sign}(q)$	$\frac{1}{6}(q + \tau)^2 - 3$	0
C^\pm	$\frac{1}{6}(2q(Q_1 + 2) - Q_1^2 + 1)$	$\frac{1}{6}(Q_1(Q_1 + 4) + 1)$	$\mp \frac{1}{6}(Q_1 - 1)\sqrt{3 - (2q - Q_1)(Q_1 + 2)}$

TABLE II: Summary of the RG phase portrait, depicted in Fig. 5. The existence and stability of the RG fixed points is shown below. FPs N , A , χ^\pm always exist, and the stability is indicated by an “s” symbol. The stable and unstable FPs M , Q , C^\pm are denoted by “s” and “u”, the “-” symbol is used if such a point does not exist.

	N	A	χ^\pm	M	Q	C^\pm
I	u	s	u	-	-	-
II	u	s	u	u	-	-
III	s	u	u	u	-	-
IV	s	u	u	u	-	-
V	s	u	u	-	-	-
VI	u	u	u	s	-	-
1	u	s	u	-	-	-
2	u	s	u	-	-	u
3	u	u	s	u	-	-
4	u	u	s	s	-	u
5	u	s	u	s	u	-
6	u	s	u	s	u	u
3'	u	u	u	s	-	-

incide with the exponents deduced from Table I of Ref. [20] for the scaling dimensions of the leading irrelevant boundary operators, Δ^χ . This becomes clear after the identification of our α_χ with their $2 - 2\Delta^\chi$, and after putting their $g_1 = g_2; g_3$ equal to our $K; K_3$.

A. N point

In this case the matrix \mathbf{M} is given by

$$\mathbf{M}_N = f_N \begin{bmatrix} 4(1 - Q_1) & Q_1 - q & 0 \\ 0 & 4 - 3q - Q_1 & 0 \\ 0 & 0 & 3(2 - q - Q_1) \end{bmatrix},$$

$$f_N = [(q - 1)(Q_1 - 1)]^{-1}. \quad (19)$$

Formally, there are three (left) eigenvectors $(1, -1/3, 0)$, $(0, 1, 0)$, $(0, 0, 1)$, corresponding to eigenvalues $4/(1 - q)$, $1/(1 - q) + 3/(1 - Q_1)$, $3/(1 - q) + 3/(1 - Q_1)$, respectively.

However, as can be seen in Fig. 3, any displacement with $c_1 \neq 0$ is not allowed, as it would end outside the allowed domain. Therefore, when discussing the RG flows starting inside “the body”, we should discard any displacements along c . We thus end with two exponents governing the RG flow towards N

$$\alpha_{N,1} = 4/(1 - q) = -2(K^{-1} - 1),$$

$$\alpha_{N,2} = \frac{1}{1 - q} + \frac{3}{1 - Q_1} = -(K^{-1} + K_3^{-1} - 2), \quad (20)$$

which may be interpreted as the weak link exponents in the main wire and between the main wire and the tip, respectively (eq. (46) in²²). These values are negative for $K, K_3 < 1$, so that the N point is stable in the case of repulsive interaction in all wires.

B. A point

The matrix \mathbf{M} for the asymmetric A point is given by

$$\mathbf{M}_A = f_A \begin{bmatrix} 4(Q_1 - 1) & Q_1 + q & 0 \\ 0 & Q_1 - 4 - 3q & 0 \\ 0 & 0 & Q_1 - 4 - 3q \end{bmatrix},$$

$$f_A = [(q + 1)(Q_1 - 1)]^{-1}. \quad (21)$$

We have three (left) eigenvectors $(1, 1/3, 0)$, $(0, 1, 0)$, $(0, 0, 1)$, corresponding to eigenvalues $4/(1 + q)$, $1/(1 + q) + 3/(1 - Q_1)$, $1/(1 + q) + 3/(1 - Q_1)$, respectively. The last eigenvalue is doubly degenerate, and therefore we again have only two exponents

$$\alpha_{A,1} = 4/(1 + q) = 2(1 - K), \quad (22)$$

$$\alpha_{A,2} = \frac{1}{1 + q} + \frac{3}{1 - Q_1} = -(\frac{1}{2}(K^{-1} + K) + K_3^{-1} - 2),$$

which may be interpreted as i) the weak impurity exponent in the main wire and ii) the tunneling exponent in the main wire and the boundary exponent in the tip, respectively (eq. (47) in²²). At the “tricritical” point, $K = 2$, $K_3 = 4/3$, the second exponent vanishes. This is a manifestation of the fact, that the tricritical point is the endpoint of the line of stable fixed points in the interaction parameter space, see Fig. 5.

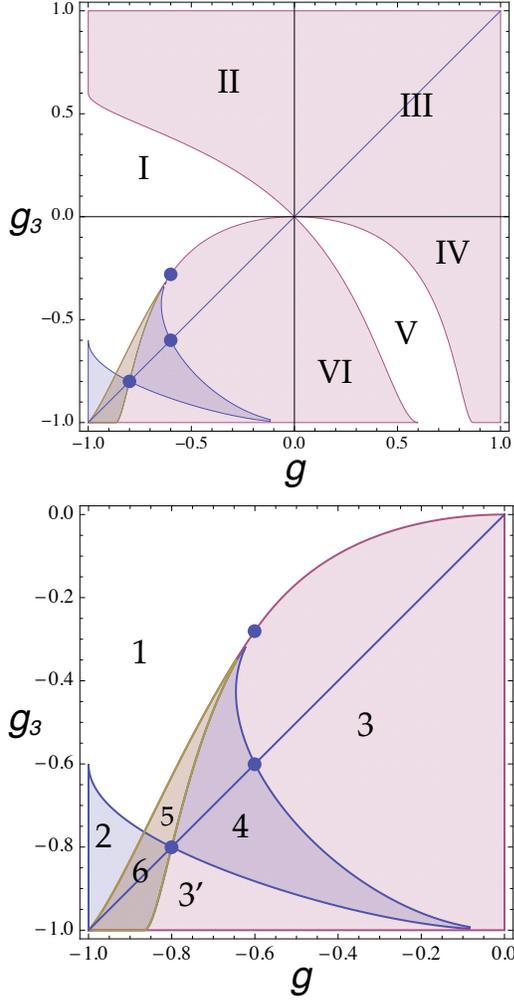


FIG. 5: (Color online) The RG phase portrait, showing regions with different set and character of the FPs. The region of attractive interactions is shown in more detail in the second panel. The numbers in the plot refer to its description in Table II. The three dots correspond to the special points $K = K_3 = 2$, $K = K_3 = 3$, and $K = 2$, $K_3 = 4/3$, discussed in the text.

C. χ^\pm point

We consider only one of these chiral points, χ^- , for which we obtain

$$\mathbf{M}_\chi = f_\chi \begin{bmatrix} 4Q_1 + 3q + \frac{19}{2} & 2Q_1 + q + \frac{3}{2} & -\sqrt{3}(2q+1) \\ 3(Q_1 + \frac{1}{2}) & Q_1 + 6q + \frac{19}{2} & -\sqrt{3}(2Q_1 + 1) \\ \sqrt{3}(2Q_1 - \frac{1}{2}) & \sqrt{3}(2q - \frac{1}{2}) & 9 \end{bmatrix},$$

$$f_\chi = 2[(2q+1)(2Q_1+1)+3]^{-1}. \quad (23)$$

The three left eigenvectors are $(-3, \frac{4Q_1-6q+5}{2Q_1+1}, 2\sqrt{3})$, $(\frac{3(Q_1-1)}{Q_1+3q+2}, \frac{2Q_1+3q+1}{Q_1+3q+2}, -\sqrt{3})$, $(\sqrt{3}, \sqrt{3}, -2)$, corresponding to the eigenvalues $2f_\chi(3q-Q_1+4)$, $4f_\chi(Q_1+2)$, $3f_\chi(Q_1+q+4)$, respectively. The last eigenvector points out of

“the body” and should be discarded, similarly to the situation at the N point.

The other two physical exponents are

$$\begin{aligned} \alpha_{\chi,1} &= \frac{4(3q-Q_1+4)}{(2q+1)(2Q_1+1)+3}, \\ &= 2 - \frac{8KK_3}{2K+K_3+K^2K_3}, \\ \alpha_{\chi,2} &= \frac{8(Q_1+2)}{(2q+1)(2Q_1+1)+3}, \\ &= 2 - \frac{4K(K+K_3)}{2K+K_3+K^2K_3}. \end{aligned} \quad (24)$$

For $K_3 = K$ we have both exponents equal :

$$\alpha_{\chi,1} = \alpha_{\chi,2} = 2 - 8K/(3+K^2).$$

When the C^\pm and χ^\pm merge, which happens at $Q_1 = -2$, the second exponent $\alpha_{\chi,2}$ in (24) vanishes.

For $K_3 \neq K$ the form of the eigenvectors is not transparent, and it might be useful to restore the parametrization in terms of Euler angles. The coordinates of the χ^\pm points are then given by $\theta = \pi/2$, $\xi = 0, \pi$, for arbitrary ψ . For χ^- , we put $\psi = 0$ and expand $\theta = \pi/2 + \theta'$, $\xi = \pi + \xi'$, with $\theta', \xi' \ll 1$. Then, linearizing the resulting RG equations, one finds a decoupled set of differential equations for θ', ξ'

$$\begin{aligned} \frac{d\theta'}{d\Lambda} &= \theta' \frac{2(3q-Q_1+4)}{(2q+1)(2Q_1+1)+3}, \\ \frac{d\xi'}{d\Lambda} &= \xi' \frac{4(Q_1+2)}{(2q+1)(2Q_1+1)+3}, \end{aligned} \quad (25)$$

in correspondence with the above exponents for the conductance components.

D. M , Q and C^\pm points

As seen in Table I, the position of these FPs is not universal, i.e. depends on the interaction. The linearization of the corresponding set of RG equations around these points leads to very cumbersome expressions for the matrix \mathbf{M} , which we do not show here. Instead we list the three scaling exponents for the conductances.

For M fixed point we have (for $g < 0$):

$$\begin{aligned} \alpha_{M,1} &= -\frac{3\tau((q+\tau)^2-9)}{2(q+\tau)(2q-\tau)^2}, \\ \alpha_{M,2} &= -\frac{3((q+\tau)^2+3)}{(q+\tau)(2q-\tau)}, \\ \alpha_{M,3} &= -\frac{3(q+\tau+3)(q^2+2q-\tau^2+2\tau-3)}{2(q+\tau)(2q-\tau)^2}. \end{aligned} \quad (26)$$

with the corresponding eigenvectors $(\frac{q((q+\tau)^2-9)}{3+(5q-3\tau)(q+\tau)}, -1, 0)$, $(q+\tau, -1, 0)$, $(0, 0, 1)$ and τ defined in (15).

For Q point we obtain (again, for $g < 0$)

$$\begin{aligned}\alpha_{Q,1} &= \frac{3\tau((q-\tau)^2-9)}{2(q-\tau)(2q+\tau)^2}, \\ \alpha_{Q,2} &= -\frac{3((q-\tau)^2+3)}{(q-\tau)(2q+\tau)}, \\ \alpha_{Q,3} &= -\frac{3(q-\tau+3)(q^2+2q-\tau^2-2\tau-3)}{2(q-\tau)(2q+\tau)^2},\end{aligned}\quad (27)$$

and the eigenvectors are $(\frac{q((q-\tau)^2-9)}{3+(5q+3\tau)(q-\tau)}, -1, 0)$, $(q - \tau, -1, 0)$, $(0, 0, 1)$, correspondingly.

For the C^\pm point we get

$$\begin{aligned}\alpha_{C,1} &= \frac{12}{-3q+Q_1+2} - \frac{12}{Q_1-1} - 6, \\ \alpha_{C,2(3)} &= \frac{3(3q-Q_1+4)(Q_1+5)}{2(Q_1-1)(-3q+Q_1+2)} \\ &\times \left[\frac{Q_1+1}{Q_1+5} \pm \frac{1}{3} \sqrt{1 + \frac{8(Q_1+2)(3q-Q_1-2)}{(Q_1-1)(3q-Q_1+4)}} \right],\end{aligned}\quad (28)$$

while the expressions for eigenvectors are too complicated to be presented here. One can check that at $Q_1 = -2$ the exponent $\alpha_{C,2}$ vanishes, it corresponds to C^\pm merging with χ^\pm , as discussed after Eq. (24).

E. Lines of fixed points

In our previous work¹⁹ on transport through a chiral Y-junction at weak coupling we found that at special lines in the $g-g_3$ -plane lines of fixed points may appear. We conjectured that this may happen at any boundary in the $g-g_3$ -plane separating phases with different stable FPs, which still exist upon approach to the boundary. A precondition is that the two different stable fixed points should exist as separate fixed points at the respective boundary in the $g-g_3$ -plane, i.e. the FPs should not have merged or otherwise disappeared upon approach to the boundary. By inspecting the many phase boundaries in Fig.4 in that respect we find that only at two phase boundaries, (1) separating phases 3 and VI, at $K = 1$; $K_3 > 1$ (i.e. $g = 0$, $g_3 < 0$) and (2) separating phases 1 and 3, at $\frac{1}{2}(K + K^{-1}) + K_3^{-1} - 2 = 0$, $1 < K < 2$ a

line of fixed points emerges. Examples of trajectories in that case, demonstrating the existence of a line of fixed points, have been given in our previous work for the weak coupling regime¹⁹.

VI. FULLY ANISOTROPIC Y-JUNCTION

In the previous sections we presented a rather detailed analysis of the 1-2-symmetric Y-junction in the presence of magnetic flux. We now consider the effects of the absence of symmetry. As in previous cases, one might expect that some of the stable fixed points found above will become unstable if the additional freedom of the RG-flow in the absence of the symmetry restriction is admitted. One may distinguish two ways in which the symmetry with respect to wires 1-2 may be broken. The first is provided by different properties of the wires 1, 2, in particular different interaction strengths $g_1 \neq g_2$. A second symmetry characterizes the junction, i.e. the way in which the third wire is attached. For instance, if the third wire is non-perpendicular to the main wire, one may expect some asymmetry in hopping to wire 1 and to wire 2. The resulting asymmetry in the S-matrix and the conductance matrix may grow in the process of renormalization. Such a scenario is implied in the work²⁰. In order to compare our results with those in²⁰, we now discuss a possible anisotropy in the conductance tensor.

In the following we confine ourselves for simplicity to the asymmetry of the second type, keeping in mind that the completely general case is fully covered by the general form of the RG-equations. The relevant formulas are listed in the Appendices A, D. The S-matrix is now characterized by four Euler angles, and instead of Eq. (6) we have four conductance parameters

$$\mathbf{Y}^R = \begin{pmatrix} a & c & 0 \\ \bar{c} & b & 0 \\ 0 & 0 & 1 \end{pmatrix} \quad (29)$$

with $\bar{c} \neq -c$. In the asymmetric non-chiral situation we have $\bar{c} = c$, see²³. Using the general RG equation (11) and formulas in Appendix D, we obtain after some calculation:

$$\begin{aligned}\frac{da}{d\Lambda} &= D_1^{-1} \{a^2(3b-1-2Q_1) + a(-3c\bar{c} + q(1-b)) + (Q_1-b)(1+b) + c^2 - c\bar{c}q + \bar{c}^2\}, \\ \frac{db}{d\Lambda} &= D_1^{-1} \{q(1-b)(1+2b) + a(b-1)(1+3b-Q_1) - c\bar{c}(2+3b+Q_1)\}, \\ \frac{dc}{d\Lambda} &= D_1^{-1} \{c(a(3b-2Q_1+2) - q(2b+1)) + \bar{c}(-2b-3c^2+Q_1+1)\}, \\ \frac{d\bar{c}}{d\Lambda} &= D_1^{-1} \{\bar{c}(a(3b-2Q_1+2) - q(2b+1)) + c(-2b-3\bar{c}^2+Q_1+1)\}.\end{aligned}\quad (30)$$

with $D_1 = (a - q)(b - Q_1) - c\bar{c}$. In the limit $\bar{c} = -c$, we return back to (12).

The investigation of the whole phase portrait defined by the set of equations, (30), is beyond the scope of the present study. For our purposes it suffices to check the stability of the already discussed FPs in the slightly asymmetric situation, which can be done as follows. Our previous analysis was confined to the surface $c + \bar{c} = 0$ in the space of conductance components a, b, c, \bar{c} . We now allow for a small asymmetry of the Y-junction, $|c + \bar{c}| \ll 1$ and expand the RG equations (30) to first order in $h = c + \bar{c}$. As a result, we recover the three previously found equations (12) and the fourth equation takes the form:

$$\frac{dh}{d\Lambda} = hD^{-1}(1 - q + Q_1 + 3c^2 - 2b(q+1) - a(2Q_1 - 3b - 2)) \quad (31)$$

A negative (positive) coefficient of h on the right-hand side of the last equation corresponds to stability (instability) of the previously determined FPs with respect to the asymmetric distortion of the S-matrix.

It is clear, that if the FP does not exist in some region of interaction parameter space, or is unstable, then the additional check of the stability provided by (31) is not required. Only otherwise stable FPs should be subjected to this additional test.

Performing this analysis, we find that the FPs collected in Table II are stable against asymmetry, with only one exception. Namely, the FP M is unstable with respect to the asymmetry perturbation $h \neq 0$ in region VI. In all other situations the character of the listed FPs is unchanged. Particularly, the exponents for h around the points N and χ^\pm are given by $\alpha_{N,2}$ and $\alpha_{\chi,2}$, Eq. (20) and (24), respectively. The RG flow from the M point in the region VI should apparently lead to one of two asymmetric FPs, different from A and discussed in²³. These points $A_{1,2}$ are defined by $a = 1/2$, $b = -1/2$, $c = \bar{c} = \pm\sqrt{3}/2$ and correspond to the cases of either the first or the second wire detached from the remaining two wires. We will denote these points by \bar{A} below. In addition to these, we also may have the counterparts of the point Q discussed above, at strong attraction. The unstable Q point separates the A and M points, as discussed in²². Two new asymmetric $Q_{1,2}$ points appear for strong repulsion and their position is given by $a = \frac{1}{6}(2q(Q_1 + 2) + Q_1^2 - 1)$, $b = \frac{1}{6}(Q_1(Q_1 + 4) + 1)$, $c = \bar{c} = \pm\frac{1}{6}(Q_1 - 1)\sqrt{(2q + Q_1)(Q_1 + 2) + 3}$.

We notice also that the asymmetric FP A cannot be affected by a finite value of h without violating unitarity, meaning that the scaling behavior is determined by only two exponents, Eq. (22). This situation is completely similar to what was discussed above, where the chiral perturbation was found impossible around the N point, and one of the perturbations impossible around the chiral χ^\pm points. The corresponding boundary (deltoid) curve in the asymmetric non-chiral case, $c = \bar{c}$, was shown in Fig. 1 of Ref.²³.

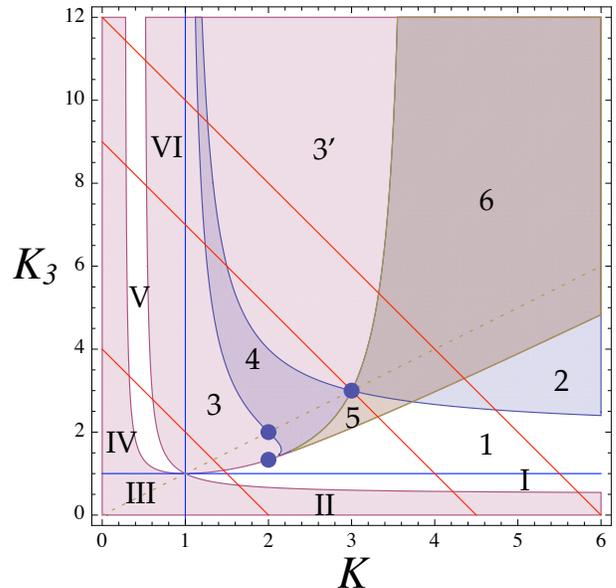


FIG. 6: (Color online) The RG phase portrait, showing regions with different character of stable FPs. The numbers in the plot refer to the description in Table II. The three dots mark the points $K = K_3 = 2$, $K = K_3 = 3$, and $K = 2$, $K_3 = 4/3$, discussed in the text. The three straight red lines correspond to $(2K + K_3)/3 = 2, 3, 4$, respectively. The dashed line indicates the condition $K = K_3$.

Below we compare our results on the stability of different FPs with the results summarized in Fig. 5 of Ref.²⁰. In order to facilitate the comparison, we re-plot our Fig. 5 in terms of the Luttinger parameters, K, K_3 and show the phase portrait in Fig. 6.

We observe full correspondence of our results with those shown in panels (a), (b), (c), (d) in Fig. 5 of Ref.²⁰ for relatively small interaction strength. However, we only partly agree with results obtained in²⁰ for strong attraction, panels (e), (f), as discussed below.

The three straight red lines in Fig. 6 designating the manifolds $(2K + K_3)/3 = 2, 3, 4$, correspond to the vertical lines drawn through the top vertex of the triangles shown in panels (d), (e), (f) in Fig. 5 of Ref.²⁰, respectively.

We see that the first line, $(2K + K_3)/3 = 2$, consecutively intersects the regions IV, V, VI, 3, 1, I, II, when one increases K from $K = 0$ to $K = 2$. This sequence corresponds to the appearance of stable FPs in the following order: N, \bar{A}, χ^\pm, A , in full agreement with Fig. 5(d) of Ref.²⁰.

The situation is more involved at stronger attraction. The second line, $(2K + K_3)/3 = 3$, consecutively intersects the regions IV, V, VI, 3, 4, 3', 5, 1, I, II, in Fig. 6 upon the increase of K from $K = 0$ to $K = 4.5$. From our Table II we see that this sequence corresponds to the FPs: $N, \bar{A}, \chi^\pm, (\chi^\pm + M), M, (M + A), A$. It should be compared to the sequence $N, \bar{A}, \chi^\pm, \bar{A}, A$, shown in Fig. 5(e) in²⁰. We notice two differences here: one is

related to the fact that Hou *et al.*²⁰ do not include the M point in their analysis, therefore they do not show the combination of several stable FPs, e.g. $(\chi^\pm + M)$ in region 4, cf. Fig. 4 at $K = 2.07$ above. Another difference concerns the region 3' which appears, e.g. at $K = 2$, $K_3 = 5$. Our analysis shows that, given the initial symmetry with respect to wires 1 and 2, the RG does not flow away from this symmetry, and the stable FP in this case is M . This is in contradiction to the conclusion of the authors of²⁰, who observe that the asymmetric points \bar{A} are stable and hence any RG flow should end there. In fact, one can show from Eqs. (30) that the symmetric point M is separated in the region 3' from the asymmetric points $A_{1,2}$ by the unstable FPs $Q_{1,2}$, mentioned above. Note that the FPs $A_{1,2}$ and $Q_{1,2}$ appear only in the fully asymmetric case and therefore are not shown in Table II.

The biggest difference occurs at even stronger attraction. The third line, $(2K + K_3)/3 = 4$, in our Fig. 6 intersects the regions IV, V, VI, 3, 4, 3', 6, 2, 1, I, II, these correspond again to the sequence of stable FPs : N , \bar{A} , χ^\pm , $(\chi^\pm + M)$, M , $(M + A)$, A . This sequence should be compared to Fig. 5(f) in²⁰, which provides the list: N , \bar{A} , χ^\pm , \bar{A} , D , A . We see that the bosonization analysis, apart from systematically ignoring the existence of the M point, proposes the appearance of the new fixed point D . The ‘‘Dirichlet’’ FP D violates the unitarity of the S-matrix, i.e. the conservation of the number of particles; the authors of^{10,20} explain that this property may be attributed to the formation of superconducting pairs close to the Y-junction. In particular, the D point is proposed as a stable FP even in the fully symmetric situation, $K = K_3$. Our analysis does not indicate the existence of a FP with the properties of the D point, which lies outside the physically accessible region Δ indicated in Fig. 3 at the point $a = b = -1$, $c = 0$. The relative position of the D point with respect to the body B' of allowed conductance values, available in the fluxless asymmetric case, is shown in Fig. 7

It should be stressed again, that the scaling exponents at the points N , χ , A obtained in²⁰ coincide with those, calculated within our approach earlier and in the present paper. Let us look closer at the argument of the authors²⁰ in favor of the D point. They observe that the pair hopping operator at the FP A becomes formally relevant for large attraction, which means that the RG flow generated by such a perturbation should move the system away from the A point. At the same time, they show that the perturbations around the proposed D points are irrelevant and the D point is thus stable. They do not actually suggest any RG flow, connecting the FPs A and D , because these points are proposed by an ansatz,²⁰ rather than determined as zeros of the beta-function of a set of RG equations.

We observe further that the bosonization approach suggests the existence of the stable FP D at strong attraction, whereas the existence of such a point is not excluded at weaker attraction, when it only becomes un-

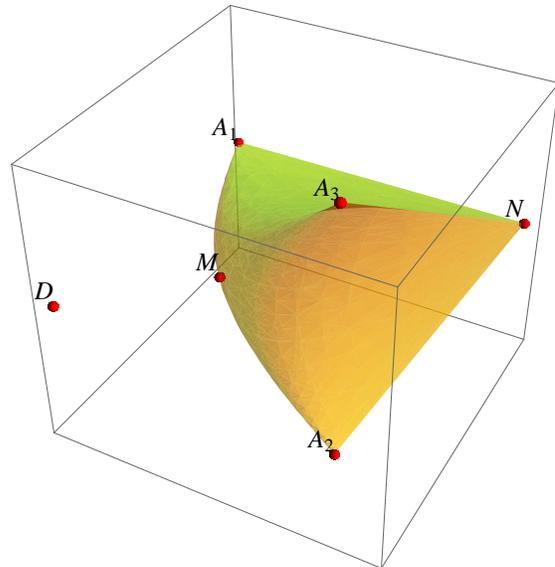


FIG. 7: (Color online) The position of the Dirichlet D point is shown in relation to the body of allowed conductances in the asymmetric non-chiral case. The fixed points N , A_i , M are also shown.

stable. Therefore it should be meaningful to discuss values of conductance, which exceed the maximum allowed value for one-particle processes, for arbitrary interaction, in particular also for weak interaction. One may then hypothetically find examples of contributions from pair hopping, which may increase the conductance over its maximum value, even though the subsequent renormalization (i.e. higher order corrections) reduces this value to usual one.

From a formal perspective, contributions to the Kubo formula of conductance involving fermion pair processes are certain vertex corrections, first discussed in the context of superconducting fluctuation contributions. These are the Maki-Thompson and Aslamazov-Larkin corrections²⁴. We showed, however, in our previous work²² that vertex corrections vanish at zero temperature in the limit $\omega L \rightarrow 0$. It is known that the criterion $\omega L \ll 1$ is crucial for obtaining the correct value of the conductance of the clean Luttinger liquid with leads⁷⁻⁹. This criterion however does not appear in the analysis of^{10,20}, where the presence of leads at $x > L$ is modeled at the end of the calculation by introducing ‘‘contact’’ resistances, which may be positive or negative depending on the interaction. After this modeling the authors^{10,20} conclude that the D point exists also in the presence of leads and is characterized by the above values $a = b = -1$, in violation of the unitarity of the single-particle S-matrix. The proposed picture raises a natural question about the role of the length of the interacting region L . In our fermionic analysis small L correspond to the absence of any renormalization. By contrast, the

result in^{10,20} reduces to the statement, that a sufficiently long finite region around the Y-junction should lead at strong attraction between fermions to the peculiar behavior that a fermion coming from one Fermi-liquid lead is scattered to another lead, and takes with it a partner fermion from a third lead. It is apparently suggested that the finite region close to the junction acts as a pump. If valid, such a picture should have a precursor at moderate strength of interaction. However, we quoted above the absence of such contributions in the direct perturbative calculations up to the third order in the interaction. We conclude that the most plausible resolution of the contradiction is that the *ad hoc* procedure of modeling the non-interacting outer leads used by^{10,20} is not correct. There is no reason why the “contact resistances” should be the same for the ideal (clean) TLL-wire and wires connected by a junction, as assumed in^{10,20}.

VII. CONCLUSION

In this paper we presented results for the effect of fermion-fermion interaction on the transport properties of three quantum wires joined at a Y-junction. We employed the Luttinger liquid (LL) model of spinless fermions to calculate the S-matrix and the conductances of this system for the case of equal interaction constants g in the main wire and different interaction g_3 in the third wire. Using a purely fermionic representation we are able to directly describe the physical situation of wires of length L adiabatically attached to reservoirs. We argue that in this case (at least at zero temperature) the scattering is purely elastic (excitation of particle-hole pairs is excluded) and therefore described by the single-particle S-matrix (the S-matrix is strongly renormalized by interaction effects). We calculate the renormalization of the S-matrix from a set of three renormalization group equations for three conductances. The RG-equations are derived by calculating the leading scale dependent contributions to all orders in the interaction. These contributions are obtained by analytically solving a set of integral equations (ladder summation). In our earlier work we have presented arguments suggesting that the selected contributions are the dominant ones, meaning that additional contributions do not change the scaling behavior near the fixed points¹⁶. Additional support for the correctness of our method is coming from the fact that our results agree with known results in all cases where these results are well founded. The relative simplicity of our method allows, however, to consider more complex problems not addressed before, e.g. in the present case anisotropic Y-junctions at strong coupling. As demonstrated in our earlier work, the addition of even small non-symmetric perturbances to a highly symmetric system may change the RG-flow pattern in a qualitative way. For example, a second order contribution to the tunneling from a tip into a quantum wire is found to destabilize the fixed point corresponding to the tip separated from the

ideally conducting wire²¹. Similarly, a small asymmetry in the interaction constants of tip and wire of a chiral Y-junction leads to the appearance of lines of fixed points¹⁹. The fully symmetric chiral Y-junction has been studied in great detail by¹⁰. These authors found the emergence of a pair of chiral FPs, χ^\pm , which we confirm in our present analysis, extending the considerations to anisotropic interactions $g \neq g_3$. They also conjectured a new and quite unusual fixed point termed D , which in their interpretation involved “Andreev scattering”, i.e. the tunneling of fermion pairs. Such processes necessarily involve particle-hole excitations, which we exclude from the beginning (these processes have vanishing phase space in the limit of zero excitation energy). The existence of the new FP was argued in¹⁰ to be necessary to complete the RG flow pattern. We agree that new fixed points are necessary at large attractive interaction. However, we find in the isotropic case a set of additional unstable chiral FPs, C^\pm , in the domain of attractive interaction, $K > 2$, serving a similar purpose. The emergence of C^\pm allows to stabilize the FP M . In the anisotropic case a further unstable FP, Q , is appearing. In a follow up to¹⁰ the properties of a fully asymmetric Y-junction have been considered recently²⁰. Our detailed comparison with the results obtained by the latter authors reveals agreement in many aspects of this multifaceted problem. At strong attraction, however, our results differ from theirs in two respects: we do not find their fixed point D , which violates the unitarity of the single particle S-matrix, but instead find additional fixed points not discovered by them. Finally we like to point out that our formulation is rather general, allowing to derive RG-equations for junctions connecting any number of leads in any asymmetric way (see Eq.(11)). The challenge in that case is to find a suitable parametrization of the S-matrix. Work in this direction for the four-lead junction is in progress.

Acknowledgments

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Appendix A: S-matrix parametrization and re-phasing

The traceless Gell-Mann matrices, λ_j , with $j = 1, \dots, 8$ are the generators of the SU(3) group and are listed elsewhere.²³ Together with the unit matrix λ_0 , they form a basis for representing the S-matrix of a three-lead

junction. We note the property $\text{Tr}[\lambda_j \lambda_k] = 2\delta_{jk}$, with $j, k = 0, \dots, 8$.

Up to an overall phase, an arbitrary matrix S , belonging to representations of the $SU(3)$ group, may be defined by its Euler angles parametrization as follows²³ :

$$\begin{aligned} S &= U e^{i\lambda_5 \theta} \bar{U} e^{i\lambda_8 \alpha_4 (\sqrt{3}/2)}, \\ U &= e^{i\lambda_3 \alpha_2 / 2} e^{i\lambda_2 \xi / 2} e^{i\lambda_3 \psi / 2}, \\ \bar{U} &= e^{i\lambda_3 \bar{\phi} / 2} e^{i\lambda_2 \bar{\xi} / 2} e^{i\lambda_3 \alpha_3 / 2}. \end{aligned} \quad (\text{A1})$$

While this expression for S contains eight Euler angles, for our purposes it is convenient to introduce an additional degree of freedom by adding a ninth angle and defining

$$S_1 = e^{i\lambda_8 \alpha_1 (\sqrt{3}/2)} S. \quad (\text{A2})$$

One may now use the redundancy in the latter representation to derive a more convenient representation of S . Using symbolic computer calculations, one can verify that S_1 is invariant under the following change

$$\begin{aligned} \alpha_1 &\rightarrow \alpha_1 - \beta, & \alpha_4 &\rightarrow \alpha_4 + \beta, \\ \psi &\rightarrow \psi + 3\beta, & \bar{\phi} &\rightarrow \bar{\phi} - 3\beta. \end{aligned} \quad (\text{A3})$$

for arbitrary β . This means that we may set, e.g., $\bar{\phi} = 0$, by choosing $\beta = \bar{\phi}/3$. Doing this, we arrive at an equivalent representation of the S -matrix in terms of eight parameters. This representation contains four re-phasing angles $\alpha_1, \dots, \alpha_4$. These do not appear in the expressions for the conductances. In the main text we therefore discarded the re-phasing angles, which leads to a simpler parametrization of the S -matrix. In sections II-IV, we set $\bar{\xi} = \pi - \xi$ and work with the three angles θ, ψ, ξ . In sections V, VI, Appendix D we also refer to the most general case with four parameters, $\theta, \psi, \xi, \bar{\xi}$.

Appendix B: Correspondence with other authors

Let us first establish the correspondence with the notation of Oshikawa et al.¹⁰ in their Appendix A. They obtain the S -matrix in the form

$$S = e^{-2ik} [1 - e^{ik} \Gamma M]^{-1} [1 - e^{-ik} \Gamma M], \quad (\text{B1})$$

where k is the wave-vector, and Γ is the amplitude in the tight-binding Hamiltonian, describing the hopping from one wire to another. The matrix M is given by $M = e^{i\phi/3} B^\dagger + e^{-i\phi/3} B$, and $B = \begin{pmatrix} 0, 1, 0 \\ 0, 0, 1 \\ 1, 0, 0 \end{pmatrix}$. Here ϕ measures chirality, i.e. is a normalized flux through the Y-junction.

For small Γ we have (up to an overall phase) $S \simeq 1 + 2i \sin k \Gamma M$. In the tight-binding, particle-hole symmetric case, considered below, we have $k \simeq k_F = \pi/2$ and $S \simeq 1 + 2i \Gamma M$.

We can express M in terms of Gell-Mann matrices λ_i as

$$M = \cos \frac{\phi}{3} (\lambda_1 + \lambda_4 + \lambda_6) + \sin \frac{\phi}{3} (\lambda_2 - \lambda_5 + \lambda_7). \quad (\text{B2})$$

It is natural to parametrize the S -matrix in a minimal way by the expression $S = \exp[i\theta M]$, where $\theta = 2\Gamma$ in the limit $\Gamma \ll 1$. It turns out, however, that the expressions for conductances are simplified using a slightly different parametrization. Instead of the pair $(\theta \cos(\phi/3), \theta \sin(\phi/3))$ in Eq. (B2) it is better to use the pair (θ_1, θ_2) with

$$\theta \cos(\phi/3) = \theta_1/3, \quad \theta \sin(\phi/3) = \theta_2/\sqrt{3}.$$

After this convention, we arrive at the S -matrix in the form

$$\begin{aligned} S &= \exp\left(\frac{i}{3}\theta_1(\lambda_1 + \lambda_4 + \lambda_6) + \frac{i}{\sqrt{3}}\theta_2(\lambda_2 - \lambda_5 + \lambda_7)\right) \\ &= e^{-i\theta_1/3} (r + t_+ B + t_- B^\dagger) \\ &= e^{-i\theta_1/3} \begin{pmatrix} r & t_+ & t_- \\ t_- & r & t_+ \\ t_+ & t_- & r \end{pmatrix} \end{aligned} \quad (\text{B3})$$

with

$$\begin{aligned} r &= \frac{1}{3}(e^{i\theta_1} + 2 \cos \theta_2), \\ t_\pm &= \frac{1}{3}(e^{i\theta_1} - \cos \theta_2 \pm \sqrt{3} \sin \theta_2). \end{aligned} \quad (\text{B4})$$

The connection with parametrization (B1) is established by taking $\theta_{1,2} \ll 1$:

$$\theta_1 = 3\Gamma \cos(\phi/3), \quad \theta_2 = \sqrt{3}\Gamma \sin(\phi/3), \quad (\text{B5})$$

here Γ denotes the hopping amplitude, and $\phi = \pi n$ is standing for the flux, piercing the junction, with n the number of flux quanta. We have in special cases

$$\begin{aligned} |\theta_1| = 3\Gamma, \quad \theta_2 = 0, & \quad n = 0 \pmod{3} \\ |\theta_1| = |\theta_2| = 3\Gamma/2, & \quad n = \pm 1 \pmod{3} \end{aligned} \quad (\text{B6})$$

The components of conductance, $a = b, c$, Eq. (6), are given by

$$\begin{aligned} a &= \frac{3}{2}|r|^2 - \frac{1}{2} = \frac{1}{3}(\cos 2\theta_2 + 2 \cos \theta_1 \cos \theta_2) \\ c &= \frac{\sqrt{3}}{2}(|t_+|^2 - |t_-|^2) = \frac{2}{3} \sin \theta_2 (\cos \theta_1 - \cos \theta_2) \end{aligned} \quad (\text{B7})$$

We note that a and c reach their extremal values at $\cos \theta_1 = \pm 1$, and these values describe the boundary of a domain of the form

$$a = \frac{1}{3}(\cos 2\theta_2 + 2 \cos \theta_2), \quad c = \frac{2}{3} \sin \theta_2 (1 - \cos \theta_2), \quad (\text{B8})$$

This curve is called deltoid, and is depicted in Fig. 3. The Abelian bosonization approach may be applied to the problem when the condition $a^2 + c^2 = 1$ is satisfied²³. One observes that there are only three such points in Fig. 3, the corners of the deltoid.

The simple relation (B5) holds only for small Γ . For finite values of Γ we calculate the conductance components directly from (B1) and obtain

$$\begin{aligned} a &= 1 - \frac{12\Gamma^2(1 + \Gamma^2)}{(1 + 3\Gamma^2)^2 + 4\Gamma^6 \cos^2 \phi} \\ c &= -\frac{8\sqrt{3}\Gamma^3 \sin \phi}{(1 + 3\Gamma^2)^2 + 4\Gamma^6 \cos^2 \phi} \end{aligned} \quad (\text{B9})$$

These expressions correspond to Eq. (91) in²⁵ after a change $\Gamma \rightarrow t$. The quantities (a, c) lie within a deltoid curve for arbitrary $\Gamma \in (0, \infty)$, $\phi \in (0, 2\pi)$, as shown in Fig. 3. The proper deltoid curve is given by Eqs. (B9) at $\phi = \pi/2$ and $\Gamma \in (0, \infty)$

It was argued in¹⁰ that the free-fermion description of the S -matrix is not fully applicable in the interacting case. Instead, it was proposed to use the bosonization approach and to find an analog of small Γ in the bosonic Hamiltonian. When abandoning the fermionic formulation and working directly with currents (densities), one does not find restrictions on the values of a, c , except for the condition $a^2 + c^2 \leq 1$, mentioned above. It was hence implied in¹⁰ that such restrictions, imposed by the unitarity of S -matrix, are relaxed in the interacting case.

For completeness, we quote here the lowest-order RG equations in terms of $\theta_{1,2}$

$$\begin{aligned} \frac{d}{d\Lambda} \theta_1 &= -g \sin \theta_1 \cos \theta_2, \\ \frac{d}{d\Lambda} \theta_2 &= -\frac{1}{3} g \sin \theta_2 (\cos \theta_1 + 2 \cos \theta_2). \end{aligned} \quad (\text{B10})$$

The resulting RG flows are shown qualitatively in the two first panels in Fig. 4, obtained for moderate interaction strength, $K = 0.7, 1.5$.

The point of maximally open Y-junction, or M -point, is given by $a = -1/3$, $c = 0$ and is obtained in two cases. First, in the absence of the flux, $\phi = 0$, and $\Gamma = 1/\sqrt{2}$, and second in the case of maximum flux $\phi = \pm\pi/2$, and infinitely strong hopping, $\Gamma \rightarrow \infty$. It is worth to compare this observation with Fig. 12 of Ref.¹⁰, where the case, $\phi = \pm\pi/2$, $\Gamma \rightarrow \infty$, was associated not with the M point, but rather with a different FP labeled D . In our analysis we showed that the M point is the only stable FP for $K > 3$, as indicated in Fig. 4 above. Taken from this perspective, our results for $K > 3$ do not contradict the results obtained in¹⁰, since both $\phi = 0$, $\Gamma = 1/\sqrt{2}$ and $\phi = \pm\pi/2$, $\Gamma \rightarrow \infty$ correspond to the same FP, the M point. The important distinction is, however, that our analysis describes the renormalization of the conductances fully in terms of the one-particle S -matrix, where the D point would be in the unphysical regime. By contrast, we find the new FPs C^\pm , not discussed in¹⁰.

Appendix C: RG equation for conductances

In this section we sketch the derivation of the formula (8). This derivation closely follows our paper²⁶, dealing with a junction of two non-equal Luttinger liquid wires. The formalism presented in that paper can be naturally generalized to an arbitrary number of wires, connected by a single junction.

In the lowest order of perturbation theory the renormalization of the S -matrix and the conductance matrix is proportional to the strength of interaction, Eq. (7). In higher orders of interaction the interaction constant, entering the RG equation for the conductance, is an effective quantity, described by a function which is non-local and includes retardation effects.

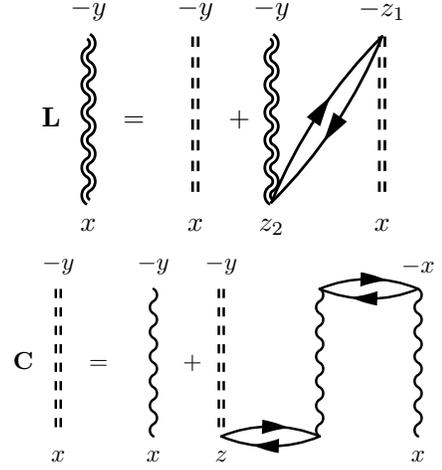


FIG. 8: Feynman diagrams depicting the integral equations for the renormalized interaction, Eqs.(C2) and (C1). A simple wavy line stands for the initial interaction matrix \mathbf{g} , the double wavy line defines the quantity \mathbf{L} , leading to the effective interaction strength in the RG equations for the conductances.

As shown in¹⁶ the leading terms in perturbation theory form a ladder series, which may be summed up analytically to give a function \mathbf{L} . The latter obeys the integral equation:

$$\begin{aligned} \begin{pmatrix} \mathbf{L}(x, y; \omega) \\ \mathbf{L}_2(x, y; \omega) \end{pmatrix} &= 2\pi \mathbf{g} \delta(x - y) \begin{pmatrix} 1 \\ 0 \end{pmatrix} - 2\pi \int_l^L dz \\ &\times \begin{pmatrix} \mathbf{g} \mathbf{Y} \Pi(x + z, \omega), & \mathbf{g} \Pi(x - z, \omega) \\ \mathbf{g} \Pi(z - x, \omega), & 0 \end{pmatrix} \begin{pmatrix} \mathbf{L}(z, y; \omega) \\ \mathbf{L}_2(z, y; \omega) \end{pmatrix}, \end{aligned}$$

with the fermionic loop $\Pi(x, \omega_n) = (2\pi)^{-1} (\delta(x) - |\omega_n| \theta(x \omega_n) e^{-x \omega_n})$.

It can be shown, that in the case with different strength of interaction in different semi-wires, it is more convenient to solve this equation in two steps. This is graphically depicted in Fig. 8, whereas the details are presented elsewhere.²⁶

At the first step, the auxiliary quantity \mathbf{C} is introduced as \mathbf{L} , taken at $\mathbf{Y} = 0$. It satisfies the equation

$$\begin{aligned} \mathbf{C}(x, y; \omega) &= 2\pi \tilde{\mathbf{g}} \delta(x - y) - \frac{1}{2} \omega \tilde{\mathbf{g}} \mathbf{g} \int_l^L dz \\ &\times \left[e^{-\omega(x+z)} + e^{-\omega|x-z|} \right] \mathbf{C}(z, y; \omega). \end{aligned} \quad (\text{C1})$$

with $\tilde{g}_j = g_j/d_j^2$ and $d_j = \sqrt{1 - g_j^2}$. The above condition $\mathbf{Y} = 0$ is not intuitively evident, and it describes the absence of correlations between the densities of incoming and outgoing electrons. In this special case, the effects of scattering are absent and the effects of the interaction amount to the usual dressing of the incoming density by the outgoing one. Strictly speaking, the condition $\mathbf{Y} = 0$

cannot be realized for any S -matrix, as it violates the charge conservation, and should be viewed as vaguely corresponding to the situation “in the bulk”, far away from the junction.

Solving Eq. (C1), we then obtain the renormalization of the plasmon velocity and certain prefactors of the interaction strength in the individual wires, which eventually become Luttinger coefficients.

At the second step, we use the expression for \mathbf{C} thus obtained in the equation for \mathbf{L} , which now includes the knowledge about the scattering \mathbf{Y} :

$$\begin{aligned} \mathbf{L}(x, y; \omega) &= \mathbf{C}(x, y; \omega) + \frac{\omega}{2\pi} \int_1^L dz_1 dz_2 \\ &\times \mathbf{C}(x, z_1; \omega) \mathbf{Y} e^{-\omega(z_1+z_2)} \mathbf{L}(z_2, y; \omega), \end{aligned} \quad (\text{C2})$$

The solution of the above integral equation (C2) is relatively simple. Substituting the result into the expression for the conductances one observes that retardation and non-locality effects, contained in \mathbf{L} , disappear in the limit $\omega L \rightarrow 0$. We then arrive at the RG equation containing the expression for the effective interaction in the form $\mathbf{g}^{ladder} = 2(\mathbf{Q} - \mathbf{Y})^{-1}$. This is Eq. (8) quoted in the main text of the paper.

Appendix D: Fully asymmetric \mathbf{Y} -junction

In the most general case the S matrix, (A1), is defined by eight parameters, but only four parameters, θ , ψ , ξ , $\bar{\xi}$ define the conductance. The parameters of the conductance matrix (29) are given by

$$\begin{aligned} a &= \frac{\cos^2 \theta + 1}{2} \cos \xi \cos \bar{\xi} - \cos \theta \cos \psi \sin \xi \sin \bar{\xi}, \\ b &= \frac{1}{2}(3 \cos^2 \theta - 1), \\ c &= -\frac{\sqrt{3}}{2} \cos \xi \sin^2 \theta, \quad \bar{c} = -\frac{\sqrt{3}}{2} \cos \bar{\xi} \sin^2 \theta. \end{aligned} \quad (\text{D1})$$

The general equation (11) contains a quantity with four indices, $F_{jklm} = -\text{Tr} \left[\widehat{W}_{jk}^R \widehat{W}_{lm}^R \right]$. This quantity is entirely defined by the parameters of S -matrix, as opposed to the interaction, entering the definition of $g_{ml}^{ladder, R}$. It is clear from the properties of Gell-Mann matrices, that $F_{jklm} = 0$ if any of its indices equals 3; hence there are only $2^4 = 16$ non-zero components of F_{jklm} . An analysis similar to the one presented in the main text for the 1 – 2 symmetric junction shows that F_{jklm} can be expressed through the conductance components, a, b, c, \bar{c} in a compact way. In view of definition (29) it is convenient to refer to pairwise combinations of indices as $\{11\} = a, \{12\} = c, \{21\} = \bar{c}, \{22\} = b$, so that F_{1121} is now denoted as $F_{a\bar{c}}$; F_{cb} stands for F_{1222} etc. In this notation we have

$$\begin{aligned} F_{aa} &= 1 + b - 2a^2, & F_{bb} &= 1 + b - 2b^2, \\ F_{cc} &= 1 - b - 2c^2, & F_{\bar{c}\bar{c}} &= 1 - b - 2\bar{c}^2, \\ F_{ab} &= F_{ba} = F_{c\bar{c}} = F_{\bar{c}c} = a(1 - b) - c\bar{c}, \\ F_{ac} &= F_{ca} = \bar{c} - 2ac, & F_{a\bar{c}} &= F_{\bar{c}a} = c - 2a\bar{c}, \\ F_{bc} &= F_{cb} = -(2b + 1)c, \\ F_{b\bar{c}} &= F_{\bar{c}b} = -(2b + 1)\bar{c}, \end{aligned} \quad (\text{D2})$$

These expressions stem from the structure of the $SU(3)$ group, and the reduction to the $SU(2)$ group is obtained by setting $b = 1, c = \bar{c} = 0$. The latter choice leaves only one non-zero element $F_{aa} = 2 - 2a^2$ in the above formulas and we return to the case of a junction between two Luttinger liquids considered earlier.

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